

DESY
Scientific Council
October 27, 2006

Rules to Ensure Good Scientific Practice at
DESY
and
Procedures in Case of Scientific Misconduct

New Version: October 17, 2006

History

1997/January 1998: DFG (German Research Foundation) publishes 16 recommendations of the Professional Self Regulation in Science

September 1998: Helmholtz Association of German Research Centers decides on 4 rules on the basis of the DFG-recommendations

May 1999: DESY-directorate accepts recommendations of a committee and to submit them to the Scientific Council , the Scientific Committee and later to the Administrative Council

October 2001: nomination of four Confidential Representatives (two of Hamburg, two of Zeuthen) by the directorate

October 2006: on account of criticism of the DFG on the DESY rules of 1999 revision of the DESY rules leading to the actual version



Most important changes

- Rule 1:**

Implementing of new version of the DFG-recommendation 6 (quality takes priority of quantity)

- Rule 3:**

Obligation to preserve primary data for 10 years (DFG-recommendation 7)

- Rule 4:**

Confirmation of the Confidential Representatives by the Scientific Council and the Scientific Committee

- Rule 4:**

Implementation of concret procedural steps in case of scientific misconduct (DFG-recommendtion 8)



Next Steps

Status Quo:

- Confidential Representatives (C.Spiering, A.Schwarz, T.Naumann, H.Franz) have agreed to stay in charge of their duty until the passing of the new version of DESY rules and a new appointment by the directorate during the first quarter of 2007

Procedure:

- Agreement of the rules by Scientific Council, October 2006
- Agreement of the rules by Scientific Committee, November 2006
- Confirmation of the rules by Administrative Council, November 2006
- New appointment of four Confidential Representatives by the directorate of DESY, beginning of 2007



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Financial Budget 2007

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Financial Budget

		Debit 2007 T€	Debit 2006 T€	Actual 2005 T€
A. Receipts				
1. Grants within the framework of the program oriented funding		175.949,0	170.427,8	164.653,3
Federal State		158.354,0	153.385,0	148.188,0
	operating assets	119.200,0	116.105,0	115.059,0
	running investments	25.834,0	25.580,0	28.029,0
	major investments > 2,5 Mio€	13.320,0	11.700,0	5.100,0
Federal Countries Hamburg and Brandenburg		17.595,0	17.042,8	16.465,3
	operating assets	13.244,5	12.900,6	12.784,3
	running investments	2.870,5	2.842,2	3.114,3
	major investments > 2,5 Mio€	1.480,0	1.300,0	566,7
2. Other income		104.548,9	66.979,8	44.913,8
there of	Project finance PETRA III / XFEL	72.988,9	38.000,0	11.942,2
	Federal State	65.690,0	34.200,0	10.748,0
	Federal Countries Hamburg / Brandenburg	7.298,9	3.800,0	1.194,2
Total income		280.497,9	237.407,6	209.567,1



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B. Expenditures				
1. Personnel cost		90.446,0	87.028,2	91.892,2
there of	Expenditures for perpetual staff	68.800,0	68.100,0	66.827,5
2. Consumables		51.442,9	51.010,8	61.782,6
	Third party R&D	4.320,0	4.262,0	3.695,7
	Representations	9,2	9,2	6,8
	other operating expenses	47.113,7	46.739,6	58.080,1
3. Subsidies for Third-Parties		22.115,6	19.946,4	16.834,6
	HGF Impuls- / Vernetzungsfonds	4.315,6	2.606,7	0,0
	ESRF	17.800,0	17.339,7	16.834,6
4. Running investments		28.704,5	28.422,2	24.031,8
	Buildings	1.210,0	1.161,0	2.120,0
	Research & development, replacements	27.374,5	27.141,2	21.766,7
	Vehicles	110,0	110,0	145,1
	Loans	10,0	10,0	0,0
5. Major investments > 2,5 Mio€		87.788,9	51.000,0	16.557,9
	there of Project finance PETRA III / XFEL	72.988,9	38.000,0	11.942,2
6. Rollover position		0,0	0,0	-1.601,3
	(rollover costs - expenditures)			
	Total expenditures	280.497,9	237.407,6	209.497,8
			cash balance 2005:	69,3



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Research Area Programme	Costs Actual 2005 T€	Costs Debit 2006 T€	Costs Debit 2007 T€	Costs of infrastructure T€	Financial planning ¹⁾			
					2008 T€	2009 T€	2010 T€	2011 T€
Structure of Matter	182.646,85	164.325,00	115.169,00	48.904,00	114.953	116.548		
Elementary Particle Physics	109.942,79	97.227,00	42.793,00	18.000,00	26.949	26.270		
Astroparticle Physics	3.545,58	2.593,00	1.268,00	904,00	1.349	1.396		
Research with Photons, Neutrons and Ions	69.158,48	64.505,00	71.108,00	30.000,00	86.655	88.882		
Total	182.646,85	164.325,00	115.169,00	48.904,00	114.953	116.548		
Non-programme-bound research		5.506,00	4.849,00	2.059,00	5.761	6.241		
Special tasks	4.019,88	2.470,00	1.803,00	254,00	1.803	1.803		
Costs of infrastructure			51.729,00	51.217,00	52.246	52.789		
Total	186.666,73	172.301,00	173.550,00		174.763	177.361		
there of: cost allocation HGF e.V.	708,50	720,84	728,05					
Rollover costs to expenditures	-12.731,83	2.280,10	4.843,40		10.811	10.643		
Expenditures Operating assets ²⁾	144.197,97	133.158,90	134.888,90		136.807	138.950		
Expenditures Investments	29.736,93	41.422,20	43.504,50		48.767	49.054		
Total budget	173.934,90	174.581,10	178.393,40		185.574	188.004		

¹⁾ result of the strategic review of the research programme at DESY

²⁾ without subsidies for "HGF Impuls-/Vernetzungsfonds"

